

FORM PTO-1390  
(REV 11-98)

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATE  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

3436-009

U.S. APPLICATION NO. (if known, see 37 CFR 1.5

09/581887

INTERNATIONAL APPLICATION NO  
PCT/EP98/08324INTERNATIONAL FILING DATE  
18 December 1998 (18.12.98)PRIORITY DATE CLAIMED  
19 December 1997 (13.12.97)

TITLE OF INVENTION METHOD OF OPERATING A WIND POWER INSTALLATION AND A WIND POWER INSTALLATION

APPLICANT(S) FOR DO/EO/US

WOBBEN, Aloys

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371
  3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C.371(b) and PCT Articles 22 and 39(1)
  4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☒ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19(35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern document(s) or information included:**
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  14. ☐ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:

Return Receipt Postcard; Preliminary Amendment; Check \$ 420; PCT Written Opinion; Response to Written Opinion in German; International Search Report;



22440

PATENT TRADEMARK OFFICE

U.S. APPLICATION NO. 09/581887

INTERNATIONAL APPLICATION NO  
PCT/EP98/08324ATTORNEY'S DOCKET NUMBER  
3436-00917. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO. .... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 840

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	7 - 20 = 0		X \$18.00
Independent claims	3 - 3 = 0		X \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00

\$

\$

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 840

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

420

**SUBTOTAL =**

\$ 420

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

**TOTAL NATIONAL FEE =**

\$ 420

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

+

**TOTAL FEES ENCLOSED =**

\$ 420

**Amount to be:****refunded**

\$

**charged**

\$

- a. ☒ A check in the amount of \$ 420 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 07-1730 in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 07-1730. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

WEISZ, Tiberiu.  
GOTTLIEB RACKMAN & REISMAN PC  
270 Madison Avenue  
New York, New York 10016-0610  
US

SIGNATURE:

Tiberiu WEISZ

NAME

29.876

REGISTRATION NUMBER

Adjustment date: 05/07/2001 FSMITH  
07/21/2000 ERIMANDO 00000076 09581887  
01 FC:198 -550.00 OP

*patent application serial no.*

*Department of Commerce  
Patent and Trademark Office  
fee record*

---

05/07/2001 FSMITH 00000006 09581887  
01 FC:154 130.00 OP

Repln. Ref: 05/07/2001 FSMITH 0010385000  
DAH:071730 Name/Number:09581887  
FC: 704 \$420.00 CR

*Refund*

06/26/2000 WCLAYBRO 00000271 09581887  
~~01 FC:971 420.00 OP~~

Adjustment date: 06/18/2000 AGIZAW  
~~06/26/2000 WCLAYBRO 00000271 09581887~~  
~~01 FC:971 420.00 OP~~

06/18/2000 AGIZAW 00000091 071730 09581887  
01 FC:970 420.00 CN 420.00 OP